

Project Proposal: Meaningful Engagement of Indigenous Peoples and Local Communities in Marine Activities

Project Summary

This project will prepare a narrative report with a compilation of information on existing mechanisms, processes, recommendations, and guidelines for engagement of indigenous peoples and local communities in marine activities that have been developed by the Arctic Council, countries, international bodies, communities, industry and other stakeholders, including, legal mandates, declarations, guidelines, recommendations, best practices and lessons learned in the Arctic. The report will bring this disparate information into a single document with background and support documents hosted on the PAME website, which will be useful in-and-of-itself. An open workshop will be held to gain insight into basic principles of meaningful engagement and examples of best practices and lessons learned from a wide group of stakeholders. Results of this workshop will be included in the report of this project. The final report and supporting documents can be used by the Arctic Council in a possible Phase II project for updating existing guidance and identifying areas where additional guidance is needed or as a value added resource for other Arctic Council projects.

Key Objectives

Arctic Indigenous peoples and local communities located in coastal areas depend on the sea for food, transportation, and for cultural and spiritual identity and social well-being. Industrial activities in the Arctic marine and coastal areas have impacts on these people, and it is vital that they are involved and engaged in a meaningful way to gain benefits and mitigate negative consequences of the activities. The Arctic Council has long provided recommendations and guidance on how government and industry can engage Indigenous Peoples and local communities. In addition, local communities, indigenous organizations, industry and governments have also provided guidance and rules. But this guidance is spread across many sectors, Arctic Council working groups, reports, and government and other documents.

Compiling existing information on engagement of indigenous peoples and local communities in marine activities can identify principles, processes, and mechanisms for achieving meaningful engagement and aid governments and industry in finding ways to improve their relationships and interactions with indigenous peoples and local communities who are most affected by their maritime decisions, actions and activities. The project can also gauge the progress of countries and industries in implementing existing Arctic Council guidance and recommendations for achieving meaningful engagement of indigenous peoples and local Arctic communities in marine activities. The final Report and supporting documents will serve as a useful source of information and a resource for other Arctic Council projects.

The project will also provide the background, context, and assessment tool for addressing:

- ✓ Recommendation IIB --*Engagement with Arctic Communities*-- in the AMSA, 2009, to determine if effective communication mechanisms exist to ensure engagement of Arctic coastal communities to increase benefits and help reduce the impacts from shipping.

- ✓ Updating of the Arctic Offshore Oil and Gas Guidelines, 2009 by assessing the existing guidance for completeness and relevance. The primary guidance for engaging indigenous people and local communities in the AOOGG, 2009 is substantially unchanged since the original guidelines were developed in 1996.
- ✓ The Arctic Marine Strategic Plan (2004) Strategic Action 7.6 -- *Build the Capacity and Engagement of Arctic Inhabitants*, and SA 7.1.2 on use of TK, community monitoring and the involvement and consultation of indigenous and local communities. Though most of the Strategic Actions from the AMSP 2004 have been implemented, these SAs are still pertinent and needed actions.
- ✓ Arctic Ocean Review recommendations 1 and 2 in Chapter 2 -- *Indigenous Peoples and Culture* on issues of marine resource use and inclusion of Traditional Knowledge in sustainable marine development.
- ✓ The Arctic Biodiversity Assessment Recommendation Implementation Actions, *Recommendation 14: Indigenous perspectives of changes in biodiversity; Lessons learned from ABA; AC guidelines on traditional knowledge; Knowledge co-production project; and Building partnerships; as well as Recommendation 15: Community guide to participatory monitoring.*
- ✓ The Arctic Marine Strategic Plan 2014 (TBD)
- ✓ The Arctic Council Scientific Cooperation Task Force Article 10 – *Traditional and local knowledge.*
- ✓ Recognition of the preamble to the Arctic Council Task Force on Oil Pollution Prevention – “*Considering that indigenous peoples, local communities, local and regional authorities, as well as residents of the Arctic may provide important resources and knowledge about the Arctic marine environment necessary for the development and adoption of measures to prevent marine oil pollution...*”

Additionally, the Project will help assess the need for updating or expanding existing guidance, or developing additional guidance and form the basis for a Phase II project on engagement of indigenous peoples and local Arctic communities.

Scope

The project will compile and assess existing documents and summarize their main aspects, principles, and processes. The project will cover all Arctic marine and coastal activities, including shipping (vessel activity), offshore oil and gas activities (i.e. surveys, drilling rigs, support vessels, etc.) and coastal infrastructure development (i.e. ports, support facilities, supply and response depots, waste reception facilities, etc.). The information to be compiled will come from Arctic Council documents and reports, national legal regimes and guidance of Arctic states, guidelines and declarations from communities and indigenous organizations, international instruments, and guidance from industry, NGO’s and other stakeholders.

Main Components and Implementation

Finding and Compiling Information: Phase I of the project will compile existing laws, rules, declarations, recommendations, guidelines and best practices related to engagement and participation of indigenous peoples and local communities in marine activities. This compilation

will be done through a call for information to other Working Groups, member countries national authorities, Permanent Participants, Observers, and others, to be conducted by a contracted researcher/writer under the guidance of the Oil and Gas Contact Group, the Shipping Expert Group, and the project leads in PAME.

This process has already begun and an accessible folder has been created on Google Drive for population by these documents and spreadsheets.

The information on engagement and participation of indigenous peoples and local communities derived from Arctic Council documents and reports, Arctic national legal regimes and guidance, guidelines and declarations from communities and indigenous organizations, international instruments, and guidance from industry, NGO's and other stakeholders, will be organized by topic or issue and by general source (Arctic Council, industry, indigenous organizations, etc.) and will maintained in a spreadsheet and document library. This information base will be used in planning and conducting a Workshop and for writing the report. It will also be an important resource for a possible follow-on Phase II Report and guidelines. This spreadsheet and associated document library can also serve as an information resource for interested stakeholders and other projects and initiatives.

Documenting Best Practices and Lessons Learned: The project will use information from various Arctic Council documents including: Arctic Offshore Oil and Gas Guidelines of 2009, AMSA IIC Report on areas of heightened cultural significance, the AMAP Assessment Oil and Gas Activities in the Arctic—Effects and potential Effects, 2010 (OGA) Chapter 3 on Socioeconomic Effects, the Arctic Human Development Report, and contributions from Working Groups, countries and Permanent Participants, to preliminarily identify possible examples of best practices, processes, and lessons learned for consideration.

Workshop on Best Practices and Lessons Learned: The preliminary examples along with information from the spreadsheet and document library, will form the basis for a Workshop on Best Practices and Lessons Learned for Engagement and Participation of Indigenous Peoples and Local Arctic Communities in Marine Activities. This workshop will be open to all interested and affected stakeholders and will result in identifying examples of best practices and lessons learned from various areas and marine industrial sectors in the Arctic to include in the final project report.

Narrative Report: Supporting narrative summary text will be written by a professional researcher/writer who will find and compile additional existing information and draft the report. Information from the information base on existing legal mandates, declarations, guidelines, and recommendations will be summarized and examples of best practices and lessons learned from the database and from the results of the workshop will described in the final report.

List of Tasks/Activities:

- ✓ Research, select and compile existing information. This will be done by the project team and through a call for information to other Working Groups, member countries national

authorities, Permanent Participants, Observers, and others. The information sources will be posted to the dedicated folder on the PAME webpage.

- ✓ Organize a spreadsheet of laws, rules, recommendations, guidelines, and declarations, as well as examples of best practices and lessons learned. The spreadsheet will be organized by topics and by general sources of information. This will be done by the contract researcher/writer under the direction of the project team.
- ✓ Compare similar laws, guidelines, recommendations and other information to identify and categorize basic fundamental issues and guidance topics. This will be done by the contract researcher/writer under the direction of the project team.
- ✓ Draft summary narrative text of existing laws, guidelines, recommendations and other information based on the analysis of the database. This will be done by the contract researcher/writer under the direction of the project team.
- ✓ Hold a workshop for all stakeholders for soliciting examples of best practices and lessons learned from various sources and areas in the Arctic.
- ✓ Draft summary narrative text of best practices and lessons learned from the database and the results of the workshop. This will be done by the contract researcher/writer under the direction of the project team.
- ✓ Prepare first draft report. This will be done by the contract researcher/writer under the direction of the project team.
- ✓ Incorporate comments and finalize report. This will be done by the contract researcher/writer under the direction of the project team.
- ✓ Organize a project outreach process including press releases, posters, and other media as appropriate to be done by the PAME Secretariat and countries. The target for distribution will include Arctic governments, and industries conducting Arctic marine or coastal activities such as oil and gas, shipping, and coastal development.

Timeline and Major Milestones:

- ✓ February 2015: Apply for funding to contract with a researcher/writer and develop a Statement of Work for to the contract.
- ✓ Spring 2015: Select a contract researcher/writer
- ✓ Spring 2015-Fall 2015: Develop project report draft. This period would include project group meetings by phone.
- ✓ August 2015: Draft Report – Summary Narrative Text and Database sent out for national review.

- ✓ PAME II 2015: Deliver progress report. Address comments to the “first half draft.” Conduct an open Workshop on Best Practices and Lessons Learned for Meaningful Engagement of Indigenous Peoples and Local Arctic Communities in Marine Activities.
- ✓ Fall 2015 to Spring 2016: The full draft including Case Studies of Best Practices and Lessons Learned.
- ✓ February 2016: Final Draft sent for national review and comments included before PAME I 2016.
- ✓ PAME I 2016: Final Draft delivered and discussed.
- ✓ Spring 2016: Conduct Outreach efforts.

Estimated Budget:

Consistent with the over-all Arctic Council approach, this project will be financed through both in-kind and financial contributions from member states. Arctic country participation will be through in-kind support. The cost for Permanent Participants to participate will need to be determined in consultation with them. The PAME Secretariat will provide the necessary administrative support.

Activity	Estimated Costs (USD) (includes in-kind)
<i>Overall project coordination and administration</i>	
<ul style="list-style-type: none"> ✓ Select and compile existing information ✓ Consult with other Arctic Council working groups, member states, Permanent Participants, Observers and other stakeholders, as relevant. ✓ Prepare work plan meetings and the workshop ✓ Prepare drafts of the narrative report 	55.000
<i>Project Planning Meetings and convening of Workshop</i>	
<ul style="list-style-type: none"> ✓ Coordinate and arrange project-related meetings, including preparatory materials and status reports (e.g. backto-back with PAME working group meetings and other relevant meetings). 	20.000
<ul style="list-style-type: none"> ✓ Prepare and convene the workshop to include background documentation, agenda, registration and administration. 	30.000
<i>Reports</i>	
Printing and publishing the final workshop report and the final narrative/project report (editing, layout, printing and publishing).	25.000
<i>Communication and Outreach Material</i>	
Communication and outreach material (reports, brochures, web-portal material)	15.000
TOTAL	145.000

Project Management:

Project team: This project is led by the United States and Aleut International Association with support from the PAME Oil and Gas Contact Group, the PAME Shipping Expert Group and the PAME Secretariat. Furthermore, the project will commission a professional researcher/writer to compile and prepare drafts of the summary narrative text and assist in the preparation of the workshop and its facilitation.